200





#### DECLARATION AND POWER OF ATTORNEY

My residence, post I believe I am the or	office addre	ess and citizens	ship are as s	tated be	low next to m	/ name				
I believe I am the or						manic.				
of the subject matte	iginal, first a er which is c	and sole invented claimed and for	or (if only on r which a pa	ne name i stent is s	s listed below) ought on the l	or an origina nvention enti	l, first and joint in tied	ventor (if plur	al names are	listed below)
SMALL PA		E COMPO	SITION	NS F	OR INTE	ANASAL	DRUG DE	LIVERY		·
(check one)		tached hereto.								
	XX was f	filed on <u>Ma</u>	<u>y 21. 1</u>	993						as
•	Applicati	ion Serial No.	_08/06	5.676	<u> </u>					
•	and was a	amended on _								
I hereby state that I	have review	ed and underst	and the cont	ente of ti	a about Idani	(if appli			4 44	
referred to above.	na · c · c · i c · i c · i c	70 E110 0110C1914	ma me com	CII LI OL II	ic above lucili	nicu specifica	tion, including the	ciaims, as am	ended by any	amendment
I acknowledge the d Regulations, § 1.56(	luty to discle (a).	ose informatio	n which is m	naterial t	o the examina	tion of this s	pplication in acc	ordance with 1	litle 37, Cod	le of Federal
I hereby claim forel below and have also priority is claimed:	gn priority b identified be	enefits under clow any foreig	litle 35, Uni n application	ited Stat n for pate	es Code, §119 ent or inventor	of any foreig 's certificate i	n application(s) for saving a filing date	or patent or in before that of	ventor's cert	ificate listed ion on which
Prior Foreign Appli	cation(s)									
8924935.3		U.K.			Nov.	4, 19	89 ·		iority Claim	
(NUMBER)	,		COUNTRY)			DAYMONTH	(EAR FILED)			NO NO
									)	
(NUMBER)	1	(	COUNTRY)		1	DAY/MONTH/	(EAR FILED)	YE		NO
(NUMBER)			COUNTRY	<del></del>		DAY/MONTH/	FAR FILEDY			□ №0
			<del></del>							
I hereby claim the be of each of the claims United States Code, occurred between th	of this appli §112, I acknow filling date	ication is not di nowledge the d	isclosed in the uty to disclo pplication as	he prior se mater nd the na	United States ial informatio ational or PC	application in n as defined i	the manner prov n Title 37, Code of I filing date of th	ided by the fir of Federal Res	et naroaranh	of Title 35
07/842,351 (APPLICATION		<del></del> _		24.	1992		pending			
PCT/GB90/0		,	Nov.				(STATUS) (PATEN	ITED, PENDING,	ABANDONED	<b>)</b> )
(APPLICATION		<del>,                                     </del>		O DATE)			(STATUS) (PATEN	ITED. PENDING.	ABANDONED	))
HEREBY APPOIN THIS APPLICATIO Name	IN AND TR	ANSACT AL g. No.	LBUSINES	SS IN TI Name	IE PATENT	OFFICE CO Reg. No.	NECTED THE	REWITH: Name	ION TO PR	Reg. No.
Anthony M. Lore		,059	E	Barbara	A. Barakat	32,190		Scott R. Fo	oster	20,570
George A. Loud		,814		Anne I.	Craig	32,976	•			
Arthur A. Smith,	Jr. 24	,178	T	homas	M. Saunder	8 29,585				
END CORRESPONDEN	CE TO:									
NAME	Loud	PHONE I (617) 227-			440 Co	STREET mmercial Str	201	CITY & S Boston		ZIP CODE
Lorusso &		(0,				minercial Su	eet	Boston	MA	
Lorusso &	-11 -1 -1									02109
hereby declare that e true; and further t nprisonment, or botl pplication or any pat	h, under Sec tent issued t	atements were tion 1001 of Ti thereon.	made with title 18 of the	United:	dedge that wil	iful false stati	ements and the li	ke sa made ar	e nunishahla	believed to
hereby declare that e true; and further t nprisonment, or botl pplication or any pat	h, under Sec tent issued t	atements were tion 1001 of Ti thereon.	made with title 18 of the	United:	dedge that wil	iful false stati	ements and the li	ke sa made ar	e nunishahla	believed to
hereby declare that e true; and further t nprisonment, or both pplication or any pat ISTING OF APPLICANTS	h, under Section issued i	atements were tion 1001 of Ti thereon.	made with title 18 of the	United:	Vedge that will States Code ar	iful false stati	ements and the li	ke sa made ar	e nunishahla	believed to
hereby declare that is elife; and further to apprisonment, or both pplication or any pates is the properties of application of applicants will name of sole or file.	inat these sta h, under Sec tent issued t s CONTINUED	on PAGE 2 HER	made with title 18 of the	United:	Vedge that will States Code ar	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is elife; and further to apprisonment, or both pplication or any pates is the properties of application of applicants will name of sole or file.	inat these sta h, under Sec tent issued t s CONTINUED	on PAGE 2 HER	made with title 18 of the	United:	Vedge that will States Code ar	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is elifus; and further to application or any pate strong of APPLICANTS will name of sole or fluventor's signature coldence 19 Cold	inat these str h, under Sec ient issued i s continued institution avend:	ish Cres	made with title 18 of the	United:	Vedge that will States Code ar	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is elius; and further to a prisonment, or both pplication or any pat string of APPLICANTS will name of sole or fiventor's signature considence 19 Conticenship Districts.	inst these state, under Section Issued (	ish Cres	made with title 18 of the	United:	Vedge that will States Code ar	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is elifus; and further to application or any pate strong of APPLICANTS util name of sole or flowentor's signature conducted to the conducted of the conducte	inst these state, under Section Issued (	ish Cres	made with title 18 of the	United:	Vedge that will States Code ar	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that e true; and further to prisonment, or boil pplication or any patential of the prisonment of the pris	and these still h, under Sec tent Issued i s continued instruction avend: cavend: benmark	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ements and the li	ke so made ar	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is e true; and further to prisonment, or boil population or any particular to the prisonment of the	instructed in the state of the	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that is e true; and further to prisonment, or boil population or any particular to the prisonment of the	instructed in the state of the	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ements and the li	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that the true; and further the true; and further the prisonment, or boil pplication or any path string of APPLICANTS will name of sole or find the prison of the prison o	instructed in the state of the	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that the true; and further the true; and further the prisonment, or boil pplication or any path string of APPLICANTS and name of sole or fine trentor's signature considence 19 Chitizenship Dost Office Address all name of second jectond inventor's sign condinventor's sign	avend: sar	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that he true; and further to mprisonment, or boil pplication or any particular to make the true; and further to mprisonment, or boil pplication or any particular signature of sole or fine true to the true of	avend: sar	ish Cresk	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be the state of the state o	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that he true; and further to mprisonment, or both pplication or any particular to make the true; and further to mprisonment, or both pplication or any particular to the polication of sole or fine the true of sole or fine true or fine the true or fine tr	inst these six scontinued continued cavend cavend continued san continued san continued san	DON PAGE 2 HER  ON PAGE 2 HER  CHARLES  CHARLES	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be a series and a series an	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that the true; and further the true; and further the prisonment, or boil pplication or any particular of the prisonment of the prisonment of the prison of	instructed in the state of the same of the	DON PAGE 2 HER  ON PAGE 2 HER  CHARLES  CHARLES	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be a series and a series an	Iful false stated that such w	Notting	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that he true; and further to a prisonment, or both pplication or any particular to any	instructed in the state of the same of the	DON PAGE 2 HER  ON PAGE 2 HER  CHARLES  CHARLES	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be a series and a series an	Iful false stated that such w	ments and the li lilful false statem Date	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the
hereby declare that he true; and further to a prisonment, or both pplication or any pattern to a prisonment, or both pplication or any pattern to a prisonment of sole or find the prisonment of sole or find the prison of t	instructed in the state of the same of the	DON PAGE 2 HER  ON PAGE 2 HER  CHARLES  CHARLES	made with it ite 18 of the EEOP. Yheth I	United:  ES  LLUN  Oct  Nort	Integration will be a series and a series an	Iful false stated that such w	Notting	ke so made arents may jeopa	e punishable rdize the val	believed to e by fine or idity of the



**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re application of*: Lisbeth Illum	•
Serial No.: 0 8/065,676 Group No.:	
Filed: May 21, 1993 Examiner:	
For*: "Small Particle Compositions For Intrana	isal Drug Delivery
"NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with resp maintenance fee payment also insert application serial number and filing date and add Box N address.	
Commissioner of Patents and Trademarks	,
Washington, D.C. 20231	
ATTENTION: Refund Section, Accounting Division, Office of Finance	
REQUEST FOR REFUND (37 C.F.R. 1.28(a))	÷
I. SUBMISSION OF VERIFIED STATEMENT	•
(Complete (a) or (b))	
(a) X Attached is a verified statement claiming small entity status in this application	tion.
(b) A verified statement claiming small entity status was filed in this applicatio	
II. REFUND REQUEST	
This request for refund is made within two months of the date a fee was paid in this cation on May 21, 1993 in the amount of \$ \$355	s appli-
NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F. since it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984	
CERTIFICATE OF MAILING (37 CFR 1.8(a))	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being d with the United States Postal Service on the date shown below with sufficient postage as first class mail i velope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231.	
Anna-Louise Owens (Typed or printed name of person mailing paper)	
Date: July 9. 1993  What was a printed name or person maining paper)	

(Request for Refund [7-9]—page 1 of 2)

(Signature of person mailing paper)

## IIL FEES PAID FOR WHICH REFUND REQUESTED

			REFUND
<b>₽</b>	filing fee		REQUESTED s 355
	surcharge for filing the basic	filing foo on a data later	<b>3</b> ————
ت د	than the filing date of the applic	cation (37 CFR 1.16(e))	\$
		and/or	·
	surcharge for filing the oath of later than the filing date of the	or declaration on a date the application (37 CFR	
_	1.16(e))		\$
	extension of term		\$
	issue fee		\$
	patent maintenance fee		•
	first maintenance fee	•	\$
	second maintenance fee		\$
	third maintenance fee		\$
	patent maintenance fee surcha	rge.	
fe	ne refund provisions of § 1.28(a) for la es. Notice of July 30, 1984, 1046 O.G. 2	ter submitted small entity staten 8-37.	nents apply to maintenance
L	other		\$
IV. MANN	TOTAL NER OF REFUND	REFUND REQUESTED	\$
Please m	nake refund by		
2	CX crediting Account No. 12-	2147	
	refunding overpayment		
		Suffil )	St
		Signature of attorney Scott R. Foster	
Reg. No.:	20,570	Type or print name of attorney Lorusso & Loud	
Tel. No.: (6	17 ) 227-0700	P.O. Address 440 Commercial St.	Boston, MA 02109

(Request for Refund [7-9]—page 2 of 2)

<u>(\_3</u>)

#### PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 0 8 ' 065,676 Group No.:  Examine:  For: "Small Particle Compositions For Intranasal Drug Delivery"  Patent No.: Issued: Lisbeth Illustrations for Intranasal Drug Delivery"  NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application serial number and filing date and mark Form Box M. Fee.  Commissioner of Patents and Trademarks  Washington, D.C. 20231  SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH  SMALL ENTITY STATUS  The attached statement is being submitted to establish small entity status in this	X In re application of:	•
For: "Small Particle Compositions For Intranasal Drug Delivery"    Patent No.: Issued: Issued: Issued: Issued: NOTE: Insert name(s) of inventor(s) and title also for patent. Where submission is with respect to a maintenance fee payment also insert application senial number and filing date and mark Form 8 ox M. Fea.  Commissioner of Patents and Trademarks  Washington, D.C. 20231  SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH SMALL ENTITY STATUS  The attached statement is being submitted to establish small entity status in this	Serial No.: 08 ' 065,676	Group No.:
Patent No.:   Issued:	Filed: May 21, 1993	Examiner:
Patent No.:   Issued:   Issue:   Issue:   Issue:   Issue:   Issue:   Issue:   Issue:   Issue:		mnositions For Intranasal Drug Delivery"
Commissioner of Patents and Trademarks  Washington, D.C. 20231  SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH SMALL ENTITY STATUS  The attached statement is being submitted to establish small entity status in this  patent  by the:  (check all applicable boxes below)  a.   independent inventor(s)		Lishath Illu
SUBMISSION OF VERIFIED STATEMENT(S) TO ESTABLISH SMALL ENTITY STATUS  The attached statement is being submitted to establish small entity status in this application patent  by the:  (check all applicable boxes below)  a. independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  b. non-inventor supporting claim by author 37 CFR 1.9(c) and 1.27(b)  c. small business concern 37 CFR 1.9(c) and 1.27(c)  d. non-profit organization 37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  Fig. No. (61) 227-0700  SIGNATURE OF ATTORNEY Scott R. Foster Type or print name of attomey Lorusso & Loud P.O. Address 440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of gerson mailing paper)	*NOTE: Insert name(s) of inventor(s) and nance fee payment also insert app	title also for patent. Where submission is with respect to a mainte- plication serial number and filing date and mark Form Box M. Fee.
SMALL ENTITY STATUS  The attached statement is being submitted to establish small entity status in this    application	Commissioner of Patents and Trac	
Signature of Attorner		
Signature of Attorner	The attached statement is being so	ubmitted to establish small entity status in this
patent		
by the:  (check all applicable boxes below)  a. independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  b. non-inventor supporting claim by author 37 CFR 1.9(c) and 1.27(b)  c. small business concern 37 CFR 1.9(d) and 1.27(c)  d. non-profit organization 37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  SIGNATURE OF ATTORNEY  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)		
(check all applicable boxes below)  a. independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  b. non-inventor supporting claim by author 37 CFR 1.9(c) and 1.27(b)  c. small business concern 37 CFR 1.9(d) and 1.27(c)  d. non-profit organization 37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  SIGNATURE OF ATTORNEY  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	<b>—</b> ·	
a. independent inventor(s) 37 CFR 1.9(c) and 1.27(b)  b. non-inventor supporting claim by author 37 CFR 1.9(c) and 1.27(b)  c. small business concern 37 CFR 1.9(d) and 1.27(d)  d. non-profit organization 37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  SIGNATURE OF ATTORNEY  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-1ou1se Owens  (Type or print name of person mailing paper)		
b.	(check a	all applicable boxes below)
claim by author  37 CFR 1.9(c) and 1.27(b)  c. Small business concern  37 CFR 1.9(d) and 1.27(c)  d. non-profit organization  37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  SIGNATURE OF ATTORNEY  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	a. independent inventor(s)	37 CFR 1.9(c) and 1.27(b)
dnon-profit organization 37 CFR 1.9(e) and 1.27(d)  Reg. No. 20,570  SIGNATURE OF ATTORNEY  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston, MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)		37 CFR 1.9(c) and 1.27(b)
Reg. No. 20,570  SIGNATURE OF ATTORNEY  Tel. No. (61) 227-0700  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	c. 💢 small business concern	37 CFR 1.9(d) and 1.27(c)
Tel. No. (61) 227-0700  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	d. non-profit organization	37 CFR 1.9(e) and 1.27(d)
Tel. No. (61) 227-0700  Scott R. Foster  Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	Rec No. 20 570	Scott d. Tinte
Type or print name of attorney  Lorusso & Loud  P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	neg. No. 20,570	SIGNATURE OF ATTORNEY
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	Tel. No. ( 61 ) 227_0700	Scott R. Foster
P.O. Address  440 Commercial St. Boston. MA 02109  CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	227-0700	
CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)		
CERTIFICATE OF MAILING (37 CFR 1.8a)  I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	•	
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)		440 Commercial St. Boston, MA UZIU9
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	·	CATE OF MAILING (27 CEP 1 8a)
with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)		
velope addressed to the: Commissioner of Patents and Trademarks, Washington, D.C. 20231  Anna-louise Owens  (Type or print name of person mailing paper)	I hereby certify that this paper (along with any	y paper referred to as being attached or enclosed) is being deposited
Tuling 1993	velope addressed to the: Commissioner of Pa	atents and Trademarks, Washington, D.C. 20231
Tuling 1993 Augustarius (Uniters		Anna-louise Owens
Date: July 9, 1993 Umc Sorus Uwens		(Type or print name of person mailing paper)
V4.V	Date: July 9, 1993	anno Souse Woons
(Signature of person mailing paper)		(Signature of person mailing paper)

(Submission of Verified Statement(s) To Establish Small Entity Status [7-11])

PA	TENT	

Attorney's Docket No. EPC-148
Applicant or Patentee: Lisbeth Illum
Serial or Patent No.: 0 8 065,676
Filed or Issued: May 21, 1993
For: "Small Particle Compositions For Intranasal Drug Delivery"
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(c))—SMALL BUSINESS CONCERN
hereby declare that I am
the owner of the small business concern identified below:
an official of the small business concern empowered to act on behalf of the concern identified below:
NAME OF CONCERN Danbiosyst UK Limited
ADDRESS OF CONCERN 6 William Lee Building, Highfields Science Park Nottingham, NG7 2RQ, England
ness concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.
I hereby declare that rights under contract or law have been conveyed, to and remain with the small business concern identified above with regard to the invention, entitled
"Small Particle Compositions For Intranasal Drug Delivery"
by inventor(s) Lisbeth Illum
described in  the specification filed herewith.
application serial no. 08 / 065,676 , filed May 21, 1993
patent no, issued
If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

(Small Entity-Small Business [7-4]-page 1 of 2)

3

NAME		
ADDRESS		
INDIVIDUAL	SMALL BUSINESS CONCERN	☐ NONPROFIT ORGANIZATION
NAME		
ADDRESS		
INDIVIDUAL	SMALL BUSINESS CONCERN	☐ NONPROFIT ORGANIZATION
tus resulting in loss of ing, the earliest of the	entitlement to small entity status	ent, notification of any change in sta- prior to paying, or at the time of pay- e due after the date on which status CFR 1.28(b)).
statements made on in statements were mad made are punishable b United States Code, ar	nformation and belief are believed be with the knowledge that willfing the or imprisonment, or both, and and that such willful false statement	own knowledge are true and that all ed to be true; and further that these ul false statements and the like so under Section 1001 of Title 18 of the nts may jeopardize the validity of the o which this verified statement is di-
NAME OF PERSON SI	IGNING <u>Lisbeth Illum</u>	
TITLE OF PERSON O	THER THAN OWNERManag	ing Director
		Crescent North, The Park,
Nottingham, NG		
SIGNATURE	On Bon	4 Hay 1993

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lisbeth Illum

08/359,937

Serial No.: Continuation of

U.S.S.N. 08/065,676

Art Unit:

Filed:

December 20, 1994

Examiner:

For:

SMALL PARTICLE COMPOSITIONS FOR

INTRANASAL DRUG DELIVERY

Commissioner of Patents and Trademarks Washington, D. C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS

Sir:

As owner of the entire interest of the above-identified patent application, all powers of attorney previously given are hereby revoked and the following attorneys are hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

Patrea L. Pabst Madeline I. Johnston

Registration No. 31,284 Registration No. 36,174

Please send all correspondence relating to the above patent application to

Patrea L. Pabst ARNALL GOLDEN & GREGORY 2800 One Atlantic Center 1201 West Peachtree Street Atlanta, Georgia 30309-3400

Please direct all telephone calls to:

Patrea L. Pabst . (404) 873-8794

The undersigned signatory, Lisbeth Illum, states that he/she is empowered to act on behalf of Danbiosyst UK Limited,

1



Continuation of U.S.S.N. 08/065,676 Filed: December 20, 1994 POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST AND REVOCATION OF PRIOR POWERS

that he/she has reviewed the evidentiary documents establishing ownership of the above-identified application by Danbiosyst UK Limited, and certifies that, to the best of his/her knowledge and belief, title is in Danbiosyst UK Limited.

Danbiosyst UK Limited

By: Title: MANAY-1NO DIKECTOK

Date: 17 Feb 95

180463.1